

Audit Committee

Monday 20 March 2017 at 7.00 pm

Board Room 2 - Brent Civic Centre, Engineers Way,
Wembley HA9 0FJ

Membership:

Members

Mr Ewart (Chair)
Mr Sullivan

Councillors:

Davidson
Khan
Long (Substituting for A Choudry)
Naheerathan
Nerva

Substitute Members

Councillors:
Hoda-Benn and McLeish

Councillors:

Kansagra and Maurice

For further information contact: Nikolay Manov, Governance Officer
020 8937 1348; nikolay.manov@brent.gov.uk

For electronic copies of minutes, reports and agendas, and to be alerted when the minutes of this meeting have been published visit:

www.brent.gov.uk/committees

The press and public are welcome to attend this meeting

Agenda

Introductions, if appropriate.

Item	Page
1 Apologies for absence and clarification of alternate members	
2 Declarations of personal and prejudicial interests	
Members are invited to declare at this stage of the meeting, any relevant personal and prejudicial interests and disclosable pecuniary interests in any matter to be considered at this meeting.	
3 Deputations	
4 Minutes of the previous meeting	1 - 14
5 Matters arising	
6 The Role of the Audit Committee in Local Government Ombudsman (LGO) Reports	15 - 16
The report has been requested by the Audit Committee and it sets out the role of the Committee in the rare event of the Local Government Ombudsman (LGO) issuing a report against the Council.	
Ward Affected: All Wards	Contact Officer: Peter Gadsdon, Director, Performance, Policy and Partnerships Tel: 0208 8937 1400 Email: peter.gadsdon@brent.gov.uk
7 External Audit Progress Report	17 - 20
The report summarises the auditing activities undertaken by KPMG in the period January 2017 to March 2017 and provides an overview of actions to be completed by the next meeting of the Audit Committee.	
8 External Audit Plan 2016-17	21 - 40
This report from KPMG, the Council's external auditors, sets out the Audit Plan 2016-17.	

9 Annual Report on Grant Claims and Returns 2015-16 41 - 50

This report summarises the results of work KPMG, the Council's external auditor, has carried out on the Council's 2015-16 grant claims and returns and includes the work completed under the Public Sector Audit Appointment certification arrangements, as well as the work KPMG had completed on other returns under separate engagement terms.

10 Draft Internal Audit Strategy, Plan and Internal Audit Charter 2017/18 51 - 76

The report sets out the Draft Internal Audit Strategy and Plan for 2017/18 and the basis on which the Plan has been formulated. The Internal Audit Charter has been appended to the report for consideration and approval.

Ward Affected: All Wards
Contact Officer: Conrad Hall, Chief Finance Officer
Tel: 020 8937 6528
Email: conrad.hall@brent.gov.uk

11 Internal Audit & Counter Fraud Progress Report 77 - 100

The report provides an update on the progress against the internal audit plan for the period 1 December 2016 to 28 February 2017 and updates on the counter fraud work completed in quarter 3 of 2016/17. The appendix to the report also summarises those reports from the 2016/17 plan which have been finalised since the last meeting of the Audit Committee.

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12 Risk Management Review 101 - 104

The report summarises progress in re-defining the Council's approach to Risk Management and planned next steps.

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13 Draft Counter Fraud Strategy and Plan 2017/18 105 - 118

The report sets out the Council's Counter Fraud Strategy and Plan for 2017/18 and the basis on which the Plan has been formulated.

Ward Affected:
All Wards

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14 Improving the Working of the Committee 119 -
122

The report sets out a number of suggestions from the Chair of the Audit Committee to help improve the working of the Committee.

15 Audit Committee Forward Plan 123 -
124

The paper updates members on the Audit Committee's Forward.

Ward Affected:
All Wards

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16 Any other urgent business

Notice of items to be raised under this heading must be given in writing to the Head of Executive and Member Services or his representative before the meeting in accordance with Standing Order 64.

17 Date of next meeting

The next scheduled meeting of the Audit Committee will be confirmed at the Annual Council meeting in May 2017.

Date of the next meeting: TBC



- Please remember to **SWITCH OFF** your mobile phone during the meeting.
- The meeting room is accessible by lift and seats will be provided for members of the public.